



- If you received a distribution from an IRA or qualified plan, you may roll the money back into an IRA or qualified plan within **60 days** of receiving the assets. This is considered an indirect rollover. You are only allowed one indirect rollover from an IRA to another (or same) IRA in a 12-month period, regardless of the number of IRAs owned.
- Use this form for indirect rollovers only. You must include a personal check for the rollover amount made payable to Capital Bank and Trust Company.<sup>SM</sup>
- If you wish to move assets currently held at another financial institution to American Funds, use the *Request for Transfer of Assets or Direct Rollover* form.

## 1 Information about you

Please type or print clearly.

First name \_\_\_\_\_ MI \_\_\_\_\_ Last \_\_\_\_\_ Receiving American Funds account number or plan ID \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Email address \_\_\_\_\_ ( ) \_\_\_\_\_  
Daytime phone \_\_\_\_\_

\*Your privacy is important to us. For information on our privacy policies, visit [www.capitalgroup.com](http://www.capitalgroup.com).

## 2 Source of distributed assets

### Rollover guidelines:

- Required Minimum Distributions (RMDs) are not eligible for rollover.
- Payments over life expectancy or a period of 10 years or more are not eligible for rollover.
- A SIMPLE IRA can only receive assets from another account after two years have passed since the date of the first SIMPLE IRA contribution.
- If you are rolling assets into an American Funds qualified plan, verify that the plan accepts rollovers.

### A. The attached check represents assets originally invested in the following account type:

☐ Qualified retirement plan\* ☐ SIMPLE IRA ☐ Traditional, SEP or SARSEP IRA ☐ Roth IRA

\*Any Roth assets must be rolled over to a Roth IRA.

### B. The assets were held at:

☐ American Funds. Provide the American Funds sending account number \_\_\_\_\_

**Note:** Redemption proceeds reinvested into the same American Funds account within 90 days are not assessed a sales charge.

OR

☐ Another financial institution.

### C. Qualified plan loan offset — if applicable

This section may apply if you participated in a qualified plan and didn't fully repay an outstanding loan balance prior to severance of employment or plan termination. A qualified plan loan offset is the amount the account balance is reduced, or offset, to repay the loan. You have until your tax-filing deadline (plus extensions) for the year of the loan offset to indirectly roll over the offset amount to another qualified plan or IRA.

☐ Check this box if rolling over qualified plan loan offset proceeds.

Loan offset amount \$ \_\_\_\_\_



### 3 Investment instructions

For a quick guide to fund names, numbers, minimums and share class restrictions, go to [www.capitalgroup.com/fundguide](http://www.capitalgroup.com/fundguide). Rollovers into SIMPLE IRA Plus accounts will be invested according to the instructions on file for future allocations unless otherwise indicated below.

A. Select a share class: ☐ Class A ☐ Class C ☐ Class F-2\*

\*Class F-2 shares are available only for accounts sold through participating Investment Advisor Representatives or intermediaries.

B. Provide investment selections below:

Fund name or number	Percentage	Fund name or number	Percentage
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %

C. ☐ NAV (no sales charge) for IRA rollovers — if applicable

- Attach a copy of your most recent retirement account statement. Future contributions will be assessed the appropriate sales charge.
- Class A share NAV pricing will apply to all assets being rolled to an American Funds IRA from a PlanPremier® or RecordkeeperDirect® retirement plan.
- For a rollover from any other retirement plan, Class A share NAV pricing will apply only to those assets that were invested in American Funds within the retirement plan at the time of the distribution and deposited into an American Funds IRA.

### 4 Rollover certification and signature — required

Direct any questions regarding rollover eligibility to your financial professional or tax advisor.

I hereby certify that the information provided within this form is accurate. I certify that the requested rollover contribution is an eligible rollover distribution and does not contain any amounts from a Required Minimum Distribution (RMD). I understand that only certain types of distributions are eligible for rollover treatment and that it is solely my responsibility to ensure such eligibility.

I certify that the distribution is being rolled over within 60 days of receipt or as otherwise permitted under applicable law. If after the permitted time frame, I have completed the appropriate self-certification (pursuant to IRS Revenue Procedure 2016-47) and have included a copy with this form. I understand that I may only request one indirect rollover from an IRA to another or the same IRA within a 12-month period.

If I am requesting a rollover of qualified plan loan offset proceeds, I certify that the distribution is being rolled over by my tax return filing due date (including extensions) for the year in which the qualified plan loan offset occurred.

X

Signature of account owner

\_\_\_\_\_  
Date (mm/dd/yyyy)

This document may not be signed using Adobe Acrobat Reader's "fill and sign" feature.

**Include a personal check for the rollover amount made payable to Capital Bank and Trust Company.**

Please mail this form to the appropriate service center.

(If you live outside the U.S., mail the form to the Indiana Service Center.)



#### Indiana Service Center

American Funds Service Company  
P.O. Box 6164  
Indianapolis, IN 46206-6164

#### Overnight mail address

12711 N. Meridian St.  
Carmel, IN 46032-9181

Fax (888) 421-4371

#### Virginia Service Center

American Funds Service Company  
P.O. Box 2560  
Norfolk, VA 23501-2560

#### Overnight mail address

5300 Robin Hood Rd.  
Norfolk, VA 23513-2430

Fax (888) 421-4371

If you have questions or require more information, contact your financial professional or call American Funds Service Company at (800) 421-4225.