FINRA

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

October 26, 2026

Jessica Chang
CAPITAL CLIENT GROUP, INC.

Reference: **FR2026-0908-0200/E** Org Id: 6247
Link Reference : FR2023-0301-0138

1. Single Sheet - SIMPLE IRA Plus: Plan setup and enrollment overview - It's easy to set up a SIMPLE IRA Plus plan
   Rule: FIN 2210
   3 Pages Total Fee: $300

Dear Jessica Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Sedinam A. Norvor
Senior Analyst

NOTE: Our review is limited to the communication as submitted and does not extend to any hyperlinks, QR codes or references to other content. In our review, we assume that all statements, claims, and representations in the communication are accurate and can be substantiated.

You may state that the communication was "reviewed by FINRA" or "FINRA reviewed," but you may not state that it was FINRA approved.

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