

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

January 27, 2026

Jessica Chang
CAPITAL CLIENT GROUP, INC.

Reference: **FR2025-1224-0145/E**
Link Reference : FR2023-0502-0009

Org Id: 6247

1. Single Sheet - SIMPLE IRA Plus fact sheet - An expanded SIMPLE IRA retirement solution for you and your employees - Plan sponsor version

Rules: FIN 2210, SEC 482

2 Pages

Total Fee: \$300

Dear Jessica Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Caitlin M. Kirby
Senior Analyst

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*