FINRA

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

October 7, 2026

Jessica Chang
CAPITAL CLIENT GROUP, INC.

Reference: **FR2026-0819-0001/E** Org Id: 6247
Link Reference : FR2026-1001-0135

1. Presentation - The case for active judgment: Investing through AI-driven market concentration, disruption and change
   Rules: FIN 2210, SEC 482
   20 Pages Total Fee: $400

Dear Jessica Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Sedinam A. Norvor
Senior Analyst

This year's Advertising Regulation Conference will be held October 15-16 in Washington, D.C. For more information and to register, please visit our website at: https://www.finra.org/events-training/conferences-events/2026-finra-advertising-regulation-conference

NOTE: Our review is limited to the communication as submitted and does not extend to any hyperlinks, QR codes or references to other content. In our review, we assume that all statements, claims, and representations in the communication are accurate and can be substantiated.

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You may state that the communication was "reviewed by FINRA" or "FINRA reviewed," but you may not state that it was FINRA approved.

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