



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

November 11, 2025

Jessica Chang
CAPITAL CLIENT GROUP, INC.

Reference: **FR2025-1023-0239/E**
Link Reference : FR2025-1023-0240, FX2023-0929-0138

Org Id: 6247

1. Single Sheet - A guide to automatic enrollment - SECURE 2.0 Act requirements - A boost to retirement security
Rule: FIN 2210
2 Pages
- Total Fee: \$300

Dear Jessica Chang,

The communication appears consistent with applicable standards. We have the following comment:

Please note our review does not cover the content available under the QR code. It is the member's responsibility to determine if any of the linked content is subject to the filing requirements, pursuant to FINRA Rule 2210(c), and to file it as appropriate.

If you have any questions, please contact (240) 386-4500.

Reviewed by,

Caitlin M. Kirby
Senior Analyst

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*