Clear and reset form

CAPITAL GROUP® | AMERICAN FUNDS®

PlanPremier®

3(38) Provider Fund Change Request

A 3(38) fiduciary services provider may use this form to add, remove or replace a fund. The 3(38) provider must have been granted plan access and permissions via the *3(38) Fiduciary Services Provider Authorization* form.

Important: To make a change to the share class, default option or forfeiture fund, an authorized signer must submit the *Change of Funds or Share Class* form. We cannot accept a request from a 3(38) provider.

Fund change notice distribution: A participant change notice will be provided to the plan sponsor for distribution to participants. If the plan sponsor prefers that we deliver change notices directly to participants, please contact us; separate plan sponsor authorization is required.

Plan sponsors: This form is intended for 3(38) fiduciary services providers only. Plan sponsors should use the *Change of Funds or Share Class* form.

This form must be submitted at least 45 days prior to the requested effective date. You are generally required to provide plan participants 30–90 days' notice prior to changing the plan's investment options.

Please type. To ensure accuracy, handwritten forms will not be accepted.

1 Plan information

Plan name ____________________ Plan ID number ____________________

Name of fiduciary services contact ____________________ Daytime phone ( ) Ext.

Email address ____________________

Effective date of requested change(s)[1] ____________ (mm/dd/yyyy)

Footnote:

[1] Select a business day other than the first day of the calendar quarter. If the requested effective date is unavailable, the change will be processed on the next available business day.

2 Fund information

PlanPremier allows a maximum of 25 funds. A minimum of 60% of the funds must be American Funds. American Funds Target Date Retirement Series® counts as one fund for the purposes of the 25 fund and 60% requirement. For fund eliminations, participants who choose not to have their fund(s) mapped according to the instructions below must transfer out of the current fund(s) prior to market close on the effective date.

☐ **Check this box to add the American Funds Target Date Retirement Series.**

The target date series is composed of 13 funds, each with a retirement date ranging from 2010 to 2070 in five-year increments. The American Funds Target Date Retirement Series meets the Department of Labor's requirements for a Qualified Default Investment Alternative (QDIA) under the Pension Protection Act of 2006. **By selecting the American Funds Target Date Retirement Series, you direct us to automatically add new funds within the series to your plan investment options as the funds become available**.

For fund additions: List new funds on the right. The "Add American Fund" column is for internal use only.

For fund eliminations: On the left, list the funds to eliminate. On the right, list the funds to which all current assets and participants' future contributions will be mapped.

Fund to be eliminated	Ticker symbol		New or mapped fund (maximum is 25)	Ticker symbol	CUSIP	Add American Fund
		→				☐
		→				☐

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2 Fund information

(continued)

Fund to be eliminated	Ticker symbol		New or mapped fund (maximum is 25)	Ticker symbol	CUSIP	Add American Fund
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3 3(38) fiduciary authorization

Fiduciary services contacts: Sign below. Firm authorization is also required. Check with your firm to determine authorized signer requirements.

As the plan's 3(38) fiduciary services provider, by signing below and/or submitting this request using my email address on file, I certify that the recordkeeper is entitled to rely on this authorization and is hereby indemnified from all liability arising from following the instructions provided in this form.

This document may not be signed using Adobe Acrobat Reader's "fill and sign" feature.

3(38) fiduciary services contact authorization

	X	/ /
Name of fiduciary services contact (print)	Signature of fiduciary services contact — **required**	Date (mm/dd/yyyy)

3(38) fiduciary services firm authorization

	X	/ /
Name of person authorized to sign for firm (print)	Signature of person authorized to sign for firm — **required**	Date (mm/dd/yyyy)

If you have any questions about this form, call us at (877) 872-5159.
Please attach this to your service request in the Plan Management Portal
(Category: Maintenance; Sub Category: Fund Add/Change; Detail: Update Funds).