



Morgan Stanley

NEXT STEPS DOCUMENT

Get started with PlanPremier®-TPA

Find out how simple it can be to set up your retirement plan with Capital Group and investment management services from Morgan Stanley. Fill in the form below, then email this page to AFNextSteps@capitalgroup.com.

Plan name:

PlanPremier-TPA

Financial professional:

- Class R-6 shares
- Morgan Stanley 3(38) Core Market Program

Takeover plan Start-up plan

Third-party administrator:

Number of participants with account balances:

Plan assets:

Annual compensation paid to financial professional's firm:

basis points on eligible assets (recovered from participant accounts)

I understand that final fees will be determined from actual plan data (total number of participants with account balances and plan assets in the recordkeeping system).

Authorized plan sponsor representative name and title

Date _____ Signature _____

For plan sponsor or financial professional use only.

For an overview of the major steps involved in installing your retirement plan, review the back page.

Investments are not FDIC-insured, nor are they deposits of or guaranteed by a bank or any other entity, so they may lose value.

Plan installation timeline

Installation of your retirement plan requires coordination and communication to help ensure that the process is as smooth and error-free as possible. This timeline identifies the major steps – from the Next steps document on the previous page to the transition conference call – and key roles and responsibilities during the PlanPremier-TPA implementation process.

	Days before target effective date									Days after target effective date	
	60 days				55		55-40	40-30	30-0	1-15	15-30
	Next steps document received	Financial professional call	Welcome and plan document calls	Service termination letter*	Plan design	Plan setup	Participant education	Prior recordkeeper communications	Payroll setup call (blackout begins)*	Final valuation (blackout ends)*	Transition conference call
Capital Group		•	•			•	•	•	•	•	•
Third-party administrator (TPA)			•		•						
Financial professional		•	•				•				
Plan sponsor	•	•	•	•	•		•		•	•	•
Prior recordkeeper				•				•	•	•	

* Does not apply to startup plans.

To learn more about the Morgan Stanley 3(38) Core Market Program, please see the Institutional Services or Graystone Consulting Morgan Stanley Smith Barney LLC ADV Part II Brochure, as applicable to you, for more information including a description of the fee schedule. It is available at <http://www.morganstanley.com/ADV> or from your Financial Advisor.

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Capital Client Group, Inc.

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