



Morgan Stanley

NEXT STEPS DOCUMENT

## Get started with PlanPremier®-Bundled

Find out how simple it can be to set up your retirement plan with Capital Group and investment management services from Morgan Stanley. Fill in the form below, then email this page to [AFNextSteps@capitalgroup.com](mailto:AFNextSteps@capitalgroup.com).

Plan name:

### PlanPremier-Bundled

- Class R-6 shares
- Morgan Stanley 3(38) Core Market Program

Financial professional:

Takeover plan      Start-up plan

Number of participants with account balances:

Plan assets:

Annual compensation paid to financial professional's firm:

basis points on eligible assets (recovered from participant accounts)

I understand that final fees will be determined from actual plan data (total number of participants with account balances and plan assets in the recordkeeping system).

Authorized plan sponsor representative name and title

Date \_\_\_\_\_ Signature \_\_\_\_\_

For plan sponsor and financial professional use only.

*For an overview of the major steps involved in installing your retirement plan, review the back page.*

**Investments are not FDIC-insured, nor are they deposits of or guaranteed by a bank or any other entity, so they may lose value.**

## Plan installation timeline

Installation of your retirement plan requires coordination and communication to help ensure that the process is as smooth and error-free as possible. This timeline identifies the major steps – from the Next steps document on the previous page to the transition conference call – and key roles and responsibilities during the PlanPremier-Bundled implementation process.

|                        | Days before target effective date |                             |                                 |                             |              |            |                       |                                   |                                       | Days after target effective date |                            |
|------------------------|-----------------------------------|-----------------------------|---------------------------------|-----------------------------|--------------|------------|-----------------------|-----------------------------------|---------------------------------------|----------------------------------|----------------------------|
|                        | 60 days                           |                             |                                 |                             | 55           |            | 55-40                 | 40-30                             | 30-0                                  | 1-15                             | 15-30                      |
|                        | Next steps document received      | Financial professional call | Welcome and plan document calls | Service termination letter* | Plan design† | Plan setup | Participant education | Prior recordkeeper communications | Payroll setup call (blackout begins)* | Final valuation (blackout ends)* | Transition conference call |
| Capital Group          |                                   | •                           | •                               |                             | •            | •          | •                     | •                                 | •                                     | •                                | •                          |
| Financial professional |                                   | •                           | •                               |                             |              |            | •                     |                                   |                                       |                                  | •                          |
| Plan sponsor           | •                                 | •                           | •                               | •                           | •            |            | •                     |                                   | •                                     | •                                | •                          |
| Prior recordkeeper     |                                   |                             |                                 | •                           |              |            |                       | •                                 | •                                     | •                                |                            |

\* Does not apply to startup plans.

† Does not apply if an individually designed document is used.

To learn more about the Morgan Stanley 3(38) Core Market Program, please see the applicable Morgan Stanley Smith Barney LLC ADV Part II Brochure for more information including a description of the fee schedule. It is available at <http://www.morganstanley.com/ADV> or from your Financial Advisor.

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